

**Amendments to the Claims:**

This listing of claims will replace all prior versions, and listings, of claims in the application:

**Listing of Claims:**

1. (Previously Presented) An audit system comprising:  
a storage component configured to store:  
a set of business processes describing the operations of an enterprise, each business process including one or more business functions, each business function being assigned to one or more employees in a set of employees; and  
a compatibility registry including a set of business function incompatibilities, each business function incompatibility identifying at least two business functions that should not be simultaneously assigned to a single employee; and  
at least one processing component in communication with the storage component, the at least one processing component being configured to:  
compare at least one business function incompatibility in the compatibility registry with the business functions assigned to each employee in the set of employees; and  
create a report identifying at least one employee in the set of employees that is simultaneously assigned to business functions that are identified as incompatible as per the at least one business function incompatibility.
2. (Canceled)
3. (Previously Presented) The audit system of Claim 1, wherein the report further includes an identification of the at least one business function incompatibility.
4. (Previously Presented) The audit system of Claim 1, wherein the at least one processing component is further configured to run a set of workflow-enabled applications having a set of functions adapted to implement the set of business processes, such that an

assignment of business functions to an employee in the set of employees enables the employee to access corresponding functions in the set of functions that implement said business functions.

5. (Previously Presented) The audit system of Claim 1, wherein the storage component is further configured to store a business process library having a plurality of business processes, wherein the set of business processes is a subset of the plurality of business processes of the business process library.

6. (Previously Presented) The audit system of Claim 5, wherein the business process library includes a plurality of business function incompatibilities corresponding to at least a portion of the plurality of business processes, each business function incompatibility identifying at least two business functions that should not be simultaneously assigned to a single employee.

7. (Previously Presented) The audit system of Claim 6, wherein the at least one processing component is further configured to receive a selection from an auditor of a business process from the business process library and, in response to the selection, add the selected business process to the set of business processes describing the operations of the enterprise and add at least one business function incompatibility to the compatibility registry.

8. (Previously Presented) The audit system of Claim 1, wherein an employee in the set of employees is assigned to a new business function, and wherein the at least one processing component is further configured to create an alert in response to the new business function matching at least one business function incompatibility.

9. (Previously Presented) The audit system of Claim 8, wherein the alert is communicated to an auditor.

10. (Previously Presented) The audit system of Claim 8, wherein the alert includes an identification of the employee assigned to the new business function.

11. (Previously Presented) The audit system of Claim 8, wherein the alert includes an identification of the at least one business function incompatibility matching the new business function.

12. (Previously Presented) The audit system of claim 8, wherein the at least one processing component is further configured to prevent the assignment of another new business function to the employee in response to the new business function matching the at least one business function incompatibility.

13. (New) An audit system for an enterprise, the audit system comprising:  
a storage component configured to store:  
a business process library comprising a plurality of business processes, each business process including one or more business functions, each business function being associated with a list of one or more other business functions that are incompatible with said each business function;  
a set of business processes describing the operations of the enterprise; and  
a business function compatibility registry for the enterprise; and  
at least one processing component in communication with the storage component, the at least one processing component being configured to:  
receive, from an auditor, a selection of a business process from the business process library;  
add the selected business process to the set of business processes describing the operations of the enterprise; and  
add a business function included in the selected business process and its associated list of incompatible business functions to the business function compatibility registry.

14. (New) The audit system of claim 13 wherein at least one business process in the business process library includes a parent business function and a child business function,

Appl. No. 10/804,364  
Amdt. dated December 15, 2008  
Amendment under 37 CFR 1.116 Expedited Procedure  
Examining Group 3629

PATENT

and wherein the child business function inherits the list of incompatible business functions associated with the parent business function.